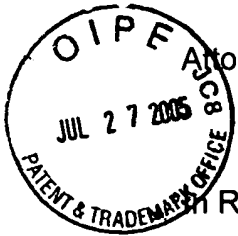


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Attorney's Docket No. 42P18893

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Liu et al.

Examiner: Pak, Sung H.

Application No.: 10/775,737

Art Unit: 2874

Filed: February 10, 2004

For: METHOD AND APPARATUS FOR
POLARIZATION INSENSITIVE PHASE
SHIFTING OF AN OPTICAL BEAM IN
AN OPTICAL DEVICE

Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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Yuko Tanaka

(Typed or printed name of person mailing correspondence)

Y. Tanaka

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

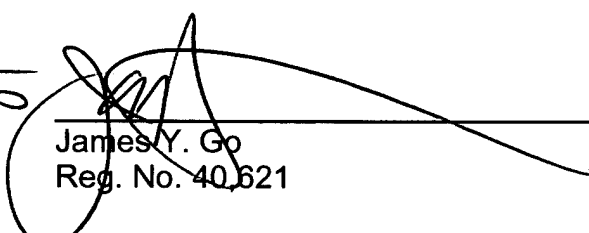
- _____ 37 C.F.R. §1.97(b).
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

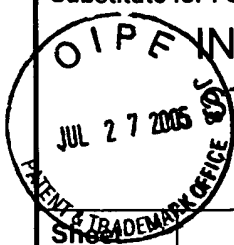
Dated: 7-25-05



James Y. Go
Reg. No. 40,621

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(206) 292-8600

Substitute for Form 1449/PTO



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/775,737
Filing Date	February 10, 2004
First Named Inventor:	Liu et al.
Art Unit	2874
Examiner Name	Pak, Sung H.
Attorney Docket Number	42P18893

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-				
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	1.	JP	09043557	02-14-1997	NTT Corporation		

Examiner Signature	Date Considered
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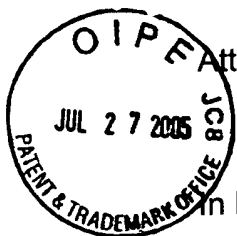
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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number		10/775,737
				Filing Date		February 10, 2004
				First Named Inventor:		Liu et al.
				Art Unit		2874
				Examiner Name		Pak, Sung H.
Sheet	2	of	2	Attorney Docket Number		42P18893
NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				T ²
	2.	YAMADA, K. et al., "Low polarization dependence (<0.3dB) in an EA modulator using a polyimide-buried high-mesa ridge structure with an InGaAsP bulk absorption layer," ELECTRONICS LETTERS, Vol. 31, No. 3, February 2, 1995, pp. 237-238.				
	3.	TANG, C. K. et al., "Highly efficient optical phase modulator in SOI waveguides," ELECTRONICS LETTERS, Vol. 31, No. 6, March 16, 1995, pp. 451-452.				
	4.	PNG, C. E. et al., "Development of small Silicon modulators in Silicon-On-Insulator (SOI)," Proceedings of SPIE, Vol. 4997, 2003, pp. 190-197.				
	5.	PCT/US2005/001601, PCT Search Report and Written Opinion, June 8, 2005.				

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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Examiner: Pak, Sung H.

Art Unit: 2874

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STATEMENT UNDER 37 C.F.R. §1.97(e)

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Yuko Tanaka

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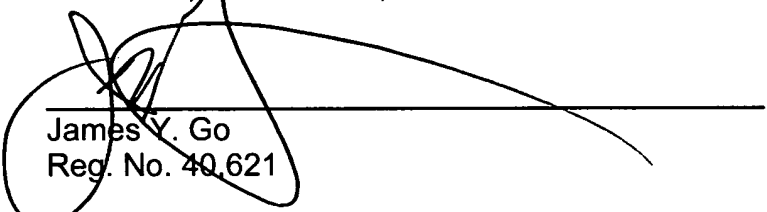
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7-25-05

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600



James V. Go
Reg. No. 40,621